

# **MINUTES**

## **CALIFORNIA TRANSPORTATION COMMISSION**

**November 3-4, 1999  
Sacramento, California**

---

The meeting of the California Transportation Commission was called to order at 3:05 p.m. on November 3, 1999 Assembly Hearing Room 126 of the State Capitol Building in Sacramento, California.

The Commissioners' attendance was as follows:

Members present:     Edward Sylvester, Chairman  
                             Dana Reed, Vice Chairman  
                             Robert Abernethy  
                             Jeremiah Hallisey  
                             Roger Kozberg  
                             James Kellogg  
                             Esteban Torres  
                             Robert Wolf  
                             Senator Betty Karnette, Ex-Officio  
                             Assemblyman Tom Torlakson, Ex-Officio, arrived at 4:45 p.m.

The meeting recessed at 5:45 p.m. and reconvened on November 4, 1999 at 8:30 a.m.

The Commissioners' attendance was as follows:

Members present:     Edward Sylvester, Chairman  
                             Dana Reed, Vice Chairman  
                             Jeremiah Hallisey  
                             James Kellogg  
                             Roger Kozberg  
                             Esteban Torres  
                             Robert Wolf  
                             Senator Betty Karnette, Ex-Officio  
                             Assemblyman Tom Torlakson, Ex-Officio

Members absent:     Robert Abernethy

---

---

**1. GENERAL MATTERS****1.(1) Approval of Minutes for September 29-30, 1999 Meeting**

Chairman Sylvester presented the September 29-30 minutes for approval. Vice Chairman Reed moved to approve the minutes. Commissioner Kozberg seconded the motion which carried 8-0.

**1.(2) Commissioners' Meetings for Compensation**

Chairman Sylvester presented the List of Meetings for Compensation for approval. Vice Chairman Reed moved to approve the List. Commissioner Kellogg seconded the motion which carried 8-0.

**1.(3) Consent Calendar**

Deputy Director Robert Chung noted the following changes to the Consent Calendar:

- Items 2.2c.(1-2) Approval of Projects for Future Consideration of Funding and/or Adoption of Findings were added to the Consent Calendar.
- Item 2.5a Minor Projects #1, 2, and 8 were withdrawn by Caltrans which resulted in a revised allocation of \$3,553,000 for ten minor projects.
- Item 2.5b(3) Project #3 was withdrawn by Caltrans which resulted in a revised allocation of \$28,061,000 for six SHOPP projects.

Jim Nicholas, Caltrans, reviewed Items 2.5b(4 and 5) and Item 2.6e(1) and recommended they be added to the Consent Calendar. After discussion, it was the consensus of the Commission to add Items 2.5b(4) and Item 2.6e(1) to the Consent Calendar and to hold Item 2.5b(5) over until next month pending review of information about the total cost of the project.

Commissioner Kozberg moved to approve the Consent Calendar as amended. Commissioner Abernethy noted that he was abstaining from voting on Item 2.4b. Vice Chairman Reed seconded the motion which carried 7-0 (Commissioner Kozberg was absent) except for Item 2.4b where the vote was 6-0-1 (Commissioner Kozberg was absent and Commissioner Abernethy abstained).

**1.(4) Commission Reports**

Chairman Sylvester reported on his trip to France and review of the French transit and long-life pavement facilities.

Vice Chairman Reed reported on his attendance at the CTA meeting in Oakland on November 2 and 3 and the award received for the PTC's efforts.

Commissioner Hallisey reported on the Commission's Public Transit Committee meeting held in San Jose.

Later in the meeting, Commissioner Wolf discussed the LA 101/405 Gap Closure project noting that it was a very important link and that Caltrans and the adjacent land owner were at an impasse.

Assemblyman Wally Knox reported that he had attended some meetings between Caltrans and the landowner noting that Caltrans had moved vigorously and creatively to resolve the issues and that the ball was in the landowners court. He also noted that the auxiliary lane project was moving forward and the problems could cause a 2 - 3 year delay if not resolved.

Dave Grannis, Planning Company Association, discussed the property owner's concerns about the project process.

After further discussion, the Commission asked Caltrans to report back at the December Commission meeting on the progress in resolving the geometrics of LA101/405 auxiliary lane in relationship to Galleria Mall and to also report back on mitigative effects of using low-noise pavement as has been used in Arizona.

**ACTION: B. Sassaman**

**1.(5) Business, Transportation and Housing Agency Report**

John Ferrera, Business Transportation & Housing Agency, presented a letter from Secretary Contreras-Sweet urging the Commission to remove the toll charges on the Vincent Thomas Bridge. Executive Director Remen read the letter into the record.

**1.(6) Caltrans Report**

Tony Harris, Caltrans, reported on the 3rd Annual American Indian Conference, investigation of the cause of a collapse of a temporary bridge, AB405, the State Highway Account Balance, and personnel changes in Caltrans. The Commission requested that Caltrans schedule a workshop on options to utilize available cash balance, fully engage the Commission, and apprise Senator Karnette and Assemblyman Torklakson of both the schedule and the outcome of the workshop.

**ACTION: L. Campbell**

**1.(7) Regional Agencies Report**

Regional Agencies Moderator Vic Kamhi reported on items discussed at the RTPA meeting including the Rail Passenger Plan and the Mass Transportation Plan.

**2. PROJECT BUSINESS MATTERS**

**2.1 Program Amendments/Project Approvals**

**2.1a. STIP Amendments for Action**

**2.1a.(1) STIP Amendment 98S-52 - Action**

Programs an additional \$301,000 for the Route 99 freeway conversion and Campus/Healy interchange project; adds \$1,301,000 in State-only funds and moves \$99,000 from the design phase to the right of way phase for the Campus Parkway project; adds \$46,000 for Planning, Programming and Monitoring; and programs \$7,155,000 in State-only funds for ten new local road rehabilitation projects in Merced County. The Merced County Association of Governments is requesting this amendment.

This item was approved on the Consent Calendar.

- 2.1a.(2)** STIP Amendment 98S-53 - Action  
Revises the schedule for one, revises the scope and cost for nine, and deletes nine local road rehabilitation projects programmed with Regional Improvement Program (RIP) funds in Inyo County. The funds made available from the deleted projects will be used to cover the cost increases for the revised projects. The Inyo County Local Transportation Commission is requesting this amendment.

This item was approved on the Consent Calendar.

- 2.1a.(3)** STIP Amendment 98S-54 - Action  
Reprograms \$4,000,000 of the \$62,092,000 in Regional Improvement Program (RIP) funds from the construction component to the right-of-way component for a project in Los Angeles County on Route 10 to construct High Occupancy Vehicle Lane from Route 57 to the San Bernardino County Line. In exchange, the Los Angeles County Metropolitan Transportation Authority (LACMTA) has agreed to reprogram \$4,000,000 of their Proposition C funds from the right-of-way component to the construction component. There is no change in the total \$82,898,000 cost of the project. The LACMTA is requesting this amendment.

This item was approved on the Consent Calendar.

- 2.1a.(4)** STIP Amendment 98S-55 - Action  
Reprograms \$400,000 of Regional Improvement Program (RIP) funds from the construction component to the construction engineering component for the Bass Lake Grade Truck Climbing Lane project on Route 50 in El Dorado County. In exchange, the El Dorado County Transportation Commission (EDCTC) has agreed to reprogram \$400,000 in local funding from the construction engineering component to the construction component. The EDCTC is requesting this amendment.

This item was approved on the Consent Calendar.

- 2.1a.(5)** STIP Amendment 98S-56 - Action  
Programs \$260,000 of Regional Improvement Program (RIP) funding for a roadway rehabilitation and signal upgrade project on city streets in Red Bluff in Tehama County to be combined with a roadway rehabilitation project on Route 36 programmed in the 1998 SHOPP. The Tehama County Regional Transportation Planning Agency is requesting this amendment.

This item was approved on the Consent Calendar.

- 2.1a.(6)** STIP Amendment 98S-57 - Action  
Programs \$4,702,000 of State-only Regional Improvement Program (RIP) funds to a new four lane project in FY 1999-2000 as a portion of the final segment of the City of Richmond's Richmond Parkway project. The Metropolitan Transportation Commission (MTC) is requesting this amendment.

This item was approved on the Consent Calendar.

- 2.1a.(7)** STIP Amendment 98S-58 - Action  
Programs \$1,500,000 as an advance of Interregional Improvement Program (IIP) funds in FY 1999-2000 for the environmental phase of a new operational improvement and pedestrian separation project on Route 101 near the communities of Mussels Shoals and La Conchita in Ventura County. Caltrans is requesting this amendment.

This item was approved on the Consent Calendar.

- 2.1a.(8)** STIP Amendment 98S-59 - Action  
Programs \$3,890,000 of Regional Improvement Program (RIP) funds for two new local reconstruction projects, one on Chestnut Street in the City of Mt. Shasta and one on South Phillippe Lane in the City of Yreka. The Siskiyou County Transportation Commission is requesting this amendment.

This item was approved on the Consent Calendar.

- 2.1a.(9)** STIP Amendment 98S-60 - Action  
Programs \$2,373,000 of Regional Improvement Program (RIP) funds for five new projects in Sierra County, including \$1,500,000 for one local rehabilitation project in the City of Loyalton, and \$873,000 as the 20% local match on four local bridge rehabilitation projects. The Sierra County Transportation Commission is requesting this amendment.

This item was approved on the Consent Calendar.

- 2.1a.(10)** STIP Amendment 98S-61 - Action  
Unprograms \$500,000 of Transportation Enhancement Activity (TEA) funds from the Merced Amtrak Station Improvements project. The \$500,000 in TEA funds made available from the deleted Merced project is transferred to Caltrans Statewide TEA Program.

This item was approved on the Consent Calendar.

- 2.1a.(11)** STIP Amendment 98S-62 - Action  
Reprograms \$251,000 of Transportation Enhancement Activity (TEA) funds in Lassen County from the Extension of the Bizz Johnson Trail project to a new Westwood Trailhead Visitor Center project, and reverts \$63,000 to the Conservation Lands share of the TEA Program.

This item was approved on the Consent Calendar.

- 2.1a.(12)** STIP Amendment 98S-63 - Action  
Reprograms \$1,000,000 of Transportation Enhancement Activity (TEA) funds in Orange County from the San Clemente Multi-Use Beach Trail project to the Pacific Electric Right of Way Landscape Enhancements project.

This item was approved on the Consent Calendar.

**2.1b.** STIP Amendments for Notice

- 2.1b.(1)** STIP Amendment 98S-64 - Notice  
Programs \$5,156,000 in State-only funds for nine new local road rehabilitation projects and \$12,146,000 for three new and/or reconstruction projects, for a total of \$17,302,000 from Trinity County's Unprogrammed Share Balance. The Trinity County Transportation Commission is requesting this amendment.

Wayne Lewis presented the thirteen 2.1b STIP Amendments for Notice.

Vice Chairman Reed recommended reviewing the issue of STIP Amendments in general and noted the lack of guidelines for approving STIP Amendments. The Commission discussed the type of STIP Amendments and how they affect the whole STIP. The Commission asked Caltrans to categorize all future STIP Amendment notices into groupings that facilitate the review of those that are of significance and have potential policy questions, segregated from those that are non-controversial.

**ACTION: D. Brewer/J. Nicholas**

The Commission also asked Caltrans to develop a format for reporting on the impact on programming capacity and cash balance of project delays through STIP amendments and requests for extensions.

**ACTION: B. Evans**

Vice Chairman Reed moved to place all thirteen STIP Amendments on the December Consent Calendar. Commissioner Kozberg seconded the motion which carried 6-0 (Commissioners Abernethy and Kellogg were absent).

- 2.1b.(2)** STIP Amendment 98S-65 - Notice  
Programs \$222,000 from Sacramento County's Unprogrammed Share Balance to a new \$2,900,000 bicycle and pedestrian access project in the vicinity of California State University, Sacramento. The Sacramento Area Council of Governments (SACOG) is requesting this amendment.

Refer to Item 2.1b.(1) for proceedings related to this item.

- 2.1b.(3)** STIP Amendment 98S-66 - Notice  
Programs \$1,800,000 for a widening project in the City of Chico and \$1,010,000 in State-only funds for four local road rehabilitation projects, for a total of \$2,810,000 from Butte County's Unprogrammed Share Balance. The Butte County Association of Governments (BCAG) is requesting this amendment.

Refer to Item 2.1b.(1) for proceedings related to this item.

- 2.1b.(4)** STIP Amendment 98S-67 - Notice  
Changes the applicant status on a Transportation Enhancement Activities (TEA) bicycle/pedestrian trail relocation project from the California Department of Parks and Recreation to the City of San Buenaventura and changes the scope from construction to preliminary engineering only.

Refer to Item 2.1b.(1) for proceedings related to this item.

- 2.1b.(5)** STIP Amendment 98S-68 - Notice  
Reprograms \$25,000,000 of State-only funds from construction in FY 2002-03 and FY 2003-04 to State-administered federal funds for the acquisition of light rail vehicles in FY 1999-2000, for the San Francisco Municipal Railroad's (MUNI) 3rd Street Light Rail Extension project. The San Francisco Public Transportation Commission, with concurrence of the Metropolitan Transportation Commission (MTC), is requesting this amendment.

Refer to Item 2.1b.(1) for proceedings related to this item.

- 2.1b.(6)** STIP Amendment 98S-69 - Notice  
Programs \$2,500,000 of State-only funds from Orange County's Unprogrammed Share Balance for a \$8,988,000 Laguna Niguel/Mission Viejo Commuter Rail Station project. The Orange County Transportation Authority (OCTA) is requesting this amendment.

Refer to Item 2.1b.(1) for proceedings related to this item.

- 2.1b.(7)** STIP Amendment 98S-70 - Notice  
Reprograms a \$300,000 Moorpark Gateway landscape Transportation Enhancement Activities (TEA) project in Ventura County to a \$300,000 Camarillo Rail Station Public Art TEA Project, and also transfers the applicant status from the City of Moorpark to the Ventura County Transportation Commission (VCTC). The Ventura County Transportation Commission is requesting this amendment.

Refer to Item 2.1b.(1) for proceedings related to this item.

- 2.1b.(8)** STIP Amendment 98S-71 - Notice  
Combines the Crazy Horse Canyon Road Interchange and the Russell-Espinosa Interchange projects with the Prunedale Bypass project, and programs \$12.9 million in federal demonstration funds which reduces both Interregional and Regional shares and replaces local shares; combines the Route 101/156 Operational Improvements project with the Route 101/156 Interchange project, and splits out \$600,000 to fund a Public Awareness Campaign for the combined Route 101/156 project; and splits the San Miguel Road Interchange project into three projects, with \$600,000 to fund a Public Awareness Campaign, \$120,000 in Financial Contribution Only to fund a traffic signal project, and the remaining funds for the parent project. The Transportation Agency for Monterey County (TAMC) and Caltrans are requesting this amendment.

Refer to Item 2.1b.(1) for proceedings related to this item.

- 2.1b.(9)** STIP Amendment 98S-72 - Notice  
Reprograms \$56,584,000 of Regional Improvement Program (RIP) funds for construction and construction engineering from FY 2000-01 to FY 2001-02 for a High Occupancy Vehicle (HOV) project on Route 60 in and near the City of Industry in Los Angeles County. The Los Angeles County Metropolitan Transportation Authority (LACMTA) is requesting this amendment.

Refer to Item 2.1b.(1) for proceedings related to this item.

- 2.1b.(10)** STIP Amendment 98S-73 - Notice  
Deletes \$38,346,000, of the total programmed amount of \$38,916,000, of Interregional Improvement Program (IIP) funds from two auxiliary lane projects on Route 91 in Orange and Riverside Counties. The difference of \$570,000 for support costs has been expended for environmental work. Caltrans is requesting this amendment.

Refer to Item 2.1b.(1) for proceedings related to this item.

- 2.1b.(11)** STIP Amendment 98S-74 - Notice  
Programs \$7,951,000 for 13 new bus/van transit projects and \$216,000 for one specialty vehicle rehabilitation project, for a total of \$8,167,000 from Los Angeles County's Unprogrammed Share Balance. The Los Angeles County Metropolitan Transportation Authority (LACMTA) is requesting this amendment.

Refer to Item 2.1b.(1) for proceedings related to this item.



- 2.1b.(12)** STIP Amendment 98S-75 - Notice  
Programs \$83,867,000 from Los Angeles County's Unprogrammed Share Balance for 22 projects, and exchanges \$3,535,000 of Regional Improvement Program (RIP) funds for local funds on one existing project (PPNO 4293). The Los Angeles County Metropolitan Transportation Authority (LACMTA) is requesting this amendment.

Refer to Item 2.1b.(1) for proceedings related to this item.

- 2.1b.(13)** STIP Amendment 98S-76 - Notice  
Programs \$19,463,000 for three new highway projects and \$9,064,000 for four existing highway projects, for a total of \$28,527,000 from Los Angeles County's Unprogrammed Share Balance. The Los Angeles County Metropolitan Transportation Authority (LACMTA) is requesting this amendment.

Refer to Item 2.1b.(1) for proceedings related to this item.

**2.1c.** TCI Amendments for Notice/Action

No Items This Month

**2.1d.** Proposition 116 - Non-Urban County Project Approvals/Amendments

Project Application Amendment for the County of Del Norte to reprogram \$32,598 in savings from completed transit vehicle purchases, \$137,983 in unallocated funds originally programmed for transit vehicle purchase, and \$83,600 in savings from a Class II bikeway on Lake Earl Drive to pedestrian projects on Small Avenue, Glenn Street, and El Dorado Street and a Class II bikeway on Inyo Street. (Concurrent Allocation Amendment under Item 2.6e.(2) and concurrent Allocation under Item 2.6b.(3))  
Resolution PA-99-24 Amending Resolutions PA-93-08 and PA-94-22.

This item was approved on the Consent Calendar.

**2.1e.** Proposition 116 - Rail Project Approvals/Amendments

No Items This Month

**2.2** Environmental Matters

**2.2a.** Notices of Preparation of Environmental Impact Reports (EIR)

No Items This Month

**2.2b.** Comments on Documents in Circulation (draft EIR)

No Items This Month

**2.2c.** Approval of Projects for Future Consideration of Funding and Adoption of Findings from Final EIRs

- 2.2c.(1)** Routes 65 and 137 in Tulare County - Rehabilitate, widen and install signals to the existing highways near the City of Lindsay (Negative Declaration).  
Resolution E-00-6

This item was approved on the Consent Calendar.

- 2.2c.(2)** Route 101 in San Mateo County - Construct auxiliary lanes between the cities of Menlo Park and San Mateo (Negative Declaration).  
Resolution E-00-7

This item was approved on the Consent Calendar.

- 2.2c.(3)** Route 56 in San Diego County - Adopt route and construct freeway between Interstate 5 and Interstate 15 in the City of San Diego (Adoption of findings from Final EIR). Resolution E-00-8

Chief Deputy Director Pete Hathaway reviewed the project and four significant impacts. He also reported that a letter had been received from a citizen's group asserting that the EIR was flawed and asking the Commission not to approve the EIR. After discussion, Vice Chairman Reed moved to adopt the findings and accept the EIR. Commissioner Kellogg seconded the motion which carried 7-0 (Commissioner Abernethy was absent).

- 2.2c.(4)** Route 126 in Ventura County - Widen road, add bus turnouts, and restripe in the City of Fillmore (EIR Re-evaluation).

Chief Deputy Director Pete Hathaway reviewed the environmental document, noting that no action was required on this item but the Commission needed to consider it in taking action on Item 2.5b.(2).

**2.3** Highway Route Matters

**2.3a.** Route Adoptions

- Adoption of a freeway location for Route 56 in the City of San Diego from Kilometer Post 3.3 at 0.4 km east of Carmel Country Road to Kilometer Post 11.0 at 1.2 km west of Black Mountain Road.  
Resolution HRA 00-1

After the Commission considered the findings from Item 2.2c.(3), Vice Chairman Reed moved to approve the resolution. Commissioner Wolf seconded the motion which carried 7-0 (Commissioner Abernethy was absent).

**2.3b.** New Public Road Connections

No Items This Month

**2.3c.** Four Relinquishment Resolutions

- 04-CC-24- R6.22 Route 24 in the City of Lafayette.  
Resolution R-3411
- 04-Son-101- 8.8 Route 101 in the County of Sonoma.  
Resolution R-3412
- 04-Son-101- 33.5 Route 101 in the City of Healdsburg.  
Resolution R-3413
- 11-SD-78- 2.6 Route 78 in the City of Oceanside.  
Resolution R-3414

This item was approved on the Consent Calendar.

**2.3d.** Vacation Resolutions

No Items This Month

**2.4** Highway Right of Way Matters**2.4a.** Resolutions of Necessity – Appearances

No Items This Month

**2.4b.** 16 Resolutions of Necessity  
C-17893 through C-17908

This item was approved on the Consent Calendar.

**2.4c.** Airspace Lease Direct Negotiation

No Items This Month

**2.4d.** Director's Deeds

- Items #1 Through 21  
Excess Lands - Return to State: \$5,440,710  
Return to Others: \$0

This item was approved on the Consent Calendar.

**2.5 Highway Financial Matters**

- 2.5a.** Financial Vote for ten Minor Projects totaling \$3,553,000 plus \$112,000 from other sources.  
Resolution FP-99-23

This item was approved on the Consent Calendar.

**2.5b.** Financial Vote for STIP/SHOPP Projects on the State Highway System

- 2.5b.(1)** Four STIP Projects totaling \$24,518,000.  
Resolution FP-99-24

This item was approved on the Consent Calendar.

- 2.5b.(2)** One STIP Project in Ventura County for \$1,026,000.  
Resolution FP-99-33

After considering Item 2.2c.(4), Vice Chairman Reed moved to approve the resolution. Commissioner Kozberg seconded the motion which carried 7-0 (Commissioner Abernethy was absent).

- 2.5b.(3)** Six SHOPP Projects totaling \$28,061,000.  
Resolution FP-99-25

This item was approved on the Consent Calendar.

- 2.5b.(4)** Three SHOPP Projects Amended into the SHOPP by Department Action totaling \$4,477,000.  
Resolution FP-99-26

This item was on the Potential Consent Calendar and approved on the Consent Calendar. During discussion of this item, the Commission asked Caltrans to provide the Commission office with monthly lists of SHOPP projects amended into the program by the Department.

**ACTION: J. Nicholas**

- 2.5b.(5)** One SHOPP Project Amended into the SHOPP by Department Action for \$79,000.  
(Caltrans District 2 Building)  
Resolution FP-99-27

This item was withdrawn from the Potential Consent Calendar. During discussion of this item, the Commission asked Caltrans to reschedule this item for the December meeting and provide additional information on the total cost of the project and to examine the cost effectiveness of retrofit versus replacement.

**ACTION: J. Nicholas**

- 2.5b.(6)** Two SHOPP TEA Projects Amended into the SHOPP by Department Action totaling \$1,000,000 plus \$400,000 from other sources.  
Resolution FP-99-30

Marsha Mason, Caltrans, reviewed the projects and recommended approval.

Vice Chairman Reed moved to approve the projects. Commissioner Wolf seconded the motion which carried 7-0 (Commissioner Abernethy was absent).

**2.5c.** Financial Vote for STIP/TEA/CL/EEM Projects off the State Highway System

- 2.5c.(1)** Two Local Assistance STIP Projects totaling \$6,002,000 plus \$3,275,000 from other sources.  
Resolution FP-99-28

This item was approved on the Consent Calendar.

- 2.5c.(2)** Two Local Assistance TEA Projects totaling \$2,108,000 plus \$842,000 from other sources.  
Resolution FP-99-29

This item was approved on the Consent Calendar.

- 2.5c.(3)** Nine Local Assistance EEM Projects totaling \$1,464,092 plus \$672,514 from other sources.  
Resolution FP-99-31

This item was approved on the Consent Calendar.

**2.5d.** Financial Vote for Project with Cost Increases Greater than 120 Percent of Program Amount - One Project for \$10,350,000.  
Resolution FP-99-32

- 06-Ker-58 - SHOPP Project in Kern County on Route 58. Rehabilitate Roadway. Current programmed value of \$7,337,000 and current estimate of \$10,350,000, for a 41 percent increase over programmed amount.

Jim Nicholas, Caltrans, reviewed the project and discussed why the cost had increased.

Vice Chairman Reed moved to approve the allocation. Commissioner Wolf seconded the motion which carried 7-0 (Commissioner Abernethy was absent).

**2.5e.** Financial Vote for Supplemental Funds for Previously Voted Projects  
Three Projects totaling \$1,919,000.  
Resolution FA-99-04

- 10-Mer-99 - SHOPP Project in Merced County on Route 99. Original vote \$1,168,000. Awarded for \$1,451,500. Current allocation \$1,451,500. This request of \$230,000 for on-going project, for a grand total of \$1,681,500. 44 Percent increase over vote.
- 10-SJ-88 - SHOPP Project in San Joaquin County on Route 88. Original vote \$1,329,000. This request of \$444,000 to allow award, for a grand total of \$1,773,000. 33 Percent increase over vote.
- 11-Imp-7 - SHOPP Project in Imperial County on Route 7. Original vote \$8,938,000. Awarded for \$8,674,000. Current allocation \$9,311,200. This request of \$1,245,000 for claims settlement, for a grand total of \$10,556,200. 22 Percent increase over award.

Jim Nicholas, Caltrans, reviewed the projects and explained why supplemental funds were required. During the discussion, the Commission requested that Caltrans and Commission staff review the track record of incremental settlements of contracts and report back to the Commission on the cost effectiveness of funding claims or paying claims without fully resolving all contested issues surrounding the project.

**ACTION: J. Nicholas/R/ Remen**

Commissioner Wolf moved to approve the supplemental funds. Commissioner Kozberg seconded the motion which carried 7-0 (Commissioner Abernethy was absent).

**2.5f.** Information Report on Allocations Under Delegated Authority

- 2.5f.(1)** Emergency: G-11 Allocations  
Four Projects totaling \$1,662,000.  
Plus Five Additional Projects totaling \$2,362,500  
Approved by the Department After Preparation of the November Meeting Material for a Total Allocation of \$4,024,500 for Nine Projects
- 2.5f.(2)** Phase II Seismic Retrofit - G-11 Allocations  
One Project for \$620,000.
- 2.5f.(3)** SHOPP Rehabilitation: G-99-10 Allocations  
Three Projects totaling \$9,885,000.

Jim Nicholas, Caltrans, reviewed the allocations made in accordance with the delegated authority for Emergency G-11, Phase II Seismic Retrofit, and SHOPP Rehabilitation projects.

- 2.5f.(4)** Local Assistance Allocation for Local Storm Damage and Local Street and Road Pavement Rehabilitation: G-99-09 Allocations  
13 Projects totaling \$21,937,000.

Debbie Mah, Caltrans, reviewed the allocation. During the discussion, the Commission requested Caltrans to report on a monthly basis cumulative programming and allocations for local system rehabilitation projects programmed through the STIP.

**ACTION: W. Lewis**

- 2.5f.(5)** Local Assistance Allocations for Project Development Components of Local Grant Projects: G-99-22 Allocations  
29 Projects totaling \$1,926,391.

Debbie Mah, Caltrans, reviewed the local assistance allocations made for project development components.

- 2.5f.(6)** Local Assistance Allocations for Rideshare Projects in the STIP: G-98-24 Allocations  
Three Projects totaling \$4,196,000.

Debbie Mah, Caltrans, reviewed the local assistance allocations for three rideshare projects in the STIP.

- 2.5f.(7)** Local Assistance Allocations for RSTP/CMAQ Match Projects in the STIP: G-98-25 Allocations  
15 Projects totaling \$563,712.

Debbie Mah, Caltrans, reviewed the local assistance allocations for fifteen RSTP/CMAQ Match projects in the STIP.

- 2.5f.(8)** Local Assistance Allocations for Planning, Programming and Monitoring Activities: G-98-18 Allocations  
27 Projects totaling \$10,715,000.

Debbie Mah, Caltrans, reviewed the local assistance allocations for planning, programming, and monitoring activities.

**2.6** Mass Transportation Financial Matters

**2.6a.** Financial Vote for Transit Capital Improvement (TCI) Program Projects

- 2.6a.(1)** Two TCI Program Projects totaling \$261,360.  
Resolution MFP-99-11

This item was approved on the Consent Calendar.

- 2.6a.(2)** One TCI Program Project for \$428,451 for the City of Needles.  
Resolution MFP-99-12

David Cabrera, Caltrans, presented the allocation for the El Graces Station noting that the Department recommended not approving the allocation because an acceptable appraisal approach had not been used.

The Commissioners discussed the recommendation and the importance of the project to the local area. Commissioner Wolf moved to approve the allocation. Commissioner Hallisey seconded the motion which carried 8-0.

**2.6b.** Financial Vote for Proposition 116 Program Projects

- 2.6b.(1)** Three Local Proposition 116 Rail Program Projects  
totaling \$16,703,745.  
Resolution BFP-99-07

This item was approved on the Consent Calendar.

**2.6b.(2)** State Proposition 116 Rail Program Projects

No Items This Month

- 2.6b.(3)** Five Local Proposition 116 Non-Urban Counties Program Projects  
totaling \$1,014,747. (Concurrent PA Amendment under 2.1d and  
Allocation Amendment under 2.6e.(2).)  
Resolution BFP-99-08

This item was approved on the Consent Calendar.

**2.6b.(4)** Proposition 116 Competitive Bike Program Projects

No Items This Month

- 2.6c.** Financial Vote for One Local STIP Rail/Transit Project for \$83,200,000  
for the Pasadena Blue Line Construction Authority. (Related Item 5.1.)  
Resolution MFP-99-13

David Cabrera, Caltrans, presented the \$83,200,000 allocation for the Pasadena Blue Line and recommended approval.

Commissioner Kozberg moved to approve the allocation. Commissioner Torres seconded the motion. Vice Chairman Reed indicated that he supported the motion but requested that the Pasadena Metro Blue Line Construction Authority schedule for consideration at a one of its meetings within the next six months consideration to rename the Pasadena Blue Line Project to better distinguish it from the Long Beach Blue Line.

**ACTION: R. Thorpe**



Commissioner Wolf expressed concern about funding a major capital project with no assurance that it can be operated.

Martin Hernandez, Labor Community Strategy Center, expressed opposition to the allocation on the belief that it is not a valid project and asked the Commission to withhold the allocation pending resolution of a court appeal.

Rick Thorpe, Pasadena Metro Blue Line Construction Authority, spoke in support of light rail to Pasadena.

Chairman Sylvester ordered a vote on the motion to approve the allocation. The motion carried 5-2 (Commissioner Abernethy was absent and Commissioners Sylvester and Wolf voted no).

**2.6d. Financial Vote for Multiple-Program Funded Projects**

No Items This Month

**2.6e. Allocation Amendments**

- 2.6e.(1)** County of Santa Barbara - Reduce the number of transit buses to be converted from diesel power to battery electric from four to two.  
Resolution MFA-99-01, Amending Resolution MFP-97-45.

This item was approved on the Consent Calendar.

- 2.6e.(2)** County of Del Norte – Transit Vehicle Acquisition and Bikeway Construction. Revise allocations for two transit vehicle acquisition projects and one bikeway project to reflect savings from the completed vehicle acquisitions and a revised cost estimate for the bikeway project. Funds will be reprogrammed and reallocated to three pedestrian projects and one bikeway project. (Concurrent Project Approval Amendment under Item 2.1d and Allocation under Item 2.6b(3).)  
Resolution BFA-99-02, Amending Resolutions BFP-98-24, BFP-95-32, and BFP-93-72

This item was approved on the Consent Calendar.

**2.7 Aeronautics Financial Matters**

- Allocation of \$157,000 for FY 1999/00 California Aid to Airports Program (CAAP) funds for one project from the 1998 Aeronautics Program.  
Resolution FDOA-99-8
- City of Healdsburg – Healdsburg Municipal Airport in Sonoma County  
\$157,000 - Slurry Seal Runway and Taxiway

This item was approved on the Consent Calendar.

**2.8** Time Extension Requests per CTC Resolution G-99-24, STIP Guidelines, Section 59 - Timely Use of Funds / Prop 116 Waiver Requests / Miscellaneous Requests

**2.8a.** Request to Extend the Period of Project Allocation

No Items This Month

**2.8b.** Request to Extend the Period of Contract Award

No Items This Month

**2.8c.** Request to Extend the Period of Project Completion

No Items This Month

**2.8d.** Request to Extend the Period of Project Reimbursement

No Items This Month

**2.8e.** Request to Extend the Period of Project Completion for one Redding Area Bus Authority Proposition 116 Non Urban Counties Program project for \$109,200.

David Cabrera, Caltrans, presented the extension request. Vice Chairman Reed moved to approve the extension. Commissioner Wolf seconded the motion which carried 8-0.

**2.8f.** Request to Waive Deadline Date in Resolution G-99-20 for UEDA Parkway Project – FY 1999-00 Environmental Enhancement and Mitigation (EEM) Program

There was no discussion on this item.

Vice Chairman Reed moved to approve the waiver. Commissioner Abernethy seconded the motion which carried 8-0.

**2.9** Technical Changes to Previously Approved Resolutions

No Items This Month

**3. PROGRAM STATUS**

**3.1** Caltrans Monthly FY 1999-2000 Finance Report

Manuel Lopez, Caltrans, answered questions previously raised about budget appropriations compared to Commission allocation of funds. Executive Director Robert Remen noted the Commission's need to know how much of the State Highway Account balance it could safely commit to alternative interim uses.

Leslie Campbell, Caltrans, presented the Monthly Finance Report and reported that the average State Highway Account balance was \$1.9 billion. She noted current encumbrances of about \$1.9 billion against the balance, with expenditures spread out over four or five years during which time new revenues would also become available.

The Commission asked Caltrans to report on unencumbered cash balance segmented by year of estimated expenditure, and also to break out State Highway Account interest earnings in future reports.

**ACTION: L. Campbell**

**3.2 Monthly Report on Status of Projects Previously Voted but not Awarded**

Jim Nicholas, Caltrans, reported on the status of projects voted but not awarded; two projects carried over from last month and one project was added this month.

**3.3 Report on Project-by-Project Planned and Actual Support Expenditures and Earned Value Against Expended Support Dollars for FY 1998-99 District 11 Projects**

Jack Boda, Caltrans, reported on efforts to institute procedures to manage and monitor projects, including establishing appropriate base lines and formats for reporting planned versus actual support cost expenditures. He also discussed the steps and difficulties involved in identifying and calculating earned value versus expended effort.

During the discussion, the Commission asked Caltrans to discuss the appropriate formats and to portray project-by-project and aggregate progress on project delivery at the next quarterly review meeting.

**ACTION: J. Boda**

**3.4 Status of Local Program Delivery**

Therese McMillan, MTC, discussed the need for regional agencies to report on the status of STP and CMAQ funds.

**4. POLICY MATTERS**

**4.1 State Legislative Matters**

**4.1a. Status of Bills and Closeout Report on 1999 Session**

Deputy Director Chuck Oldham reported on the 1999 legislative session transportation bills signed and vetoed by the Governor.

**4.1b. New CTC Responsibilities Associated with Legislation  
Signed into Law by Governor**

Deputy Director Chuck Oldham reported on the Commission's new responsibilities which resulted from legislation passed in 1999. The following action items resulted from the discussion:

- At the December Commission meeting, present a preliminary estimate of the loan capacity under AB 1012 for both short term and longer term loans, in anticipation of the Commission's required adoption of an estimated loan capacity amount at the January meeting.

**ACTION: B. Evans**

- Present at the January Commission meeting draft guidelines for AB 1012 loan program.

**ACTION: L. Campbell**

**4.2 Adoption of Guidelines and Amendment of Fund Estimate for 2000 STIP  
Project Development Element per AB 1012 (Torlakson, 1999)  
Resolution G-99-28 Amending Resolutions G-99-27 and G-99-23**

Deputy Director David Brewer presented the Guidelines and Amendment of the Fund Estimate required to implement AB 1012 and recommended approval.

Vice Chairman Reed moved to approve the resolution. Commissioner Kellogg seconded the motion which carried 7-0 (Commissioner Abernethy was absent).

**4.3 Termination of Tolls for Vincent Thomas Bridge  
Resolution G-99-29**

Assemblyman Alan Lowenthal urged the Commission to remove the tolls on the Vincent Thomas Bridge.

Commissioner Abernethy moved to eliminate the tolls on January 1, 2000. Commissioner Torres seconded the motion which carried 8-0.

Later in the meeting, Commissioner Hallisey made a motion clarifying the previous motion. The new motion stated that tolls on the Vincent Thomas Bridge should be eliminated no later than January 1, 2000, at a date to be established by Business, Transportation and Housing Secretary Contreras-Sweet. Vice Chairman Reed seconded the motion which carried 7-0 (Commissioner Abernethy was absent).

**Action: Leslie Campbell**

**4.4 Innovative Financing: AB 1012 Loan Program, Garvee Bonds (AB 928) and TIFIA**

Deputy Director Chuck Oldham summarized the innovative financing mechanisms available for transportation financing including the California Transportation Finance Bank, short term loans from the State Highway Account cash balance authorized by AB 1012, federal highway grant anticipation notes authorized by SB 928, Federal Transportation Infrastructure Financing and Innovation Act, and STIP advances.

Ken deCrescenzo, Caltrans, discussed TIFIA and other innovative financing mechanisms.

During the discussion, the Commission asked staff to discuss the strategy and responsibilities for an integrated approach to implementing the various loan programs, including that authorized by AB 1012.

**ACTION: R. Remen/T. Harris/ J. Ferrera**

**4.5 Adoption of Project Study Report (PSR) Guidelines  
Resolution G-99-30**

Debbie Mah, Caltrans, reviewed the changes to the PSR Guidelines and recommended approval.

During the presentation, the Commission asked Caltrans to prepare language for inclusion in PSR Guidelines for the role of the Commission in helping resolve differences pertaining to scoping issues.

**ACTION: D. Mah**

Vice Chairman Reed moved to approve the Guidelines. Commissioner Kozberg seconded the motion which carried 7-0 (Commissioner Abernethy was absent).

**4.6 Expansion of the Wireless Telecommunications Master License Agreement Pricing Matrix**

Commissioner Hallisey reported that he was not participating in any discussion on this item and was abstaining from any votes that may result.

Lynn Trexel, Caltrans reviewed the Wireless Master License Agreement and the addition of a new pricing category that the Department was proposing to add to the pricing matrix.

Steven Sletten, an attorney representing AT&T Wireless, Inc. and Steve Carlson of the Cellular Carriers Association of California both asked the Commission not to approve the resolution as recommended by Caltrans staff.

Ron Beals, Caltrans Attorney, discussed the legal issues and recommended the Commission adopt the resolution with the knowledge that there was the potential for litigation over the matter. After considerable discussion by the attorneys over the legal issues, Commissioners voiced concern over trying to separate the business ramifications of the decision from the legal issues. Chairman Sylvester then directed Caltrans to continue to work with the wireless industry to try and work out a compromise position for the Commission's December 1999 meeting.

**4.7 Proposed Annual \$4,250,000 Allocation Set-Aside for the Public Utilities  
Commission (PUC) Railroad Grade Crossing Protection Maintenance  
Program for FY 2000-2001  
Resolution G-99-31**

This item was approved on the Consent Calendar.

**4.8 Report on Sales Under Increased Delegation of Director's Deeds, per G-98-22**

Steve Ikeda, Caltrans, summarized the delegated land sales.

Chairman Sylvester asked Caltrans to present at the December Commission meeting a comparison of actual sales for the delegated director deeds against fair market value for those properties.

**ACTION: S. Ikeda**

**4.9 Presentation of Draft TEA Statewide Transportation Enhancement Program Guidelines**

Marsha Mason, Caltrans, presented the draft guidelines and reviewed the proposed process. She noted that the Resources Agency would make comments but wanted the Commission to adopt guidelines at the December Commission meeting.

Chief Deputy Director Pete Hathaway reviewed written comments received from Rails-to-Trails Conservancy and East Bay Regional Park District. He discussed the issue of agency eligibility with the Commission.

Laura Cohen, Rails-to-Trails Conservancy, noted that non-state agencies face obstacles in proposing projects that can be of statewide interest, and asked the Commission to broaden agency eligibility.

The following action items resulted from discussion of this item:

- Present TEA Guidelines for Commission action at the December Commission meeting.

**ACTION: M. Mason**

- Invite comments from Resources Agency on recommended changes to the draft guidelines.

**ACTION: P. Hathaway**

**4.10 Presentation of First Round of Programming from Caltrans TEA Program**

Marsha Mason, Caltrans, reviewed the 19 projects in the first round of programming from Caltrans TEA Program and discussed how they were chosen. During the presentation, the Commission asked Caltrans to assign an added weight to its own projects for the second round, and forego the call for projects altogether for the third round and program only its own projects.

**ACTION: M. Mason**

**4.11 Update and Status Report on Federal Discretionary Programs under TEA-21**

Dick Petrie, Caltrans, reported on the status of TEA-21 discretionary programs. He noted that California had received a disappointing 8% of funding nationwide from all discretionary programs, compared to a 9.2% fair share from formula programs, and observed that Congress had taken to earmarking funds instead of allowing competition for discretionary grants, which might suggest California should consider a new approach to these programs.

**4.12 Update on Roadside Rest Program**

Gary Bush, Caltrans, updated the Commission on the Department's activities and costs regarding the Safety Roadside Rest Area System. The Commission discussed opportunities for public-private partnerships at roadside rests. The following actions resulted from the discussion on this item:

- Return in six months for further discussion of costs for maintenance, law enforcement, rehabilitation, new capital investments, safety and crime related issues.

**ACTION: G. Bush**

- Work with the Department of Rehabilitation to explore further opportunities for privatization of roadside rests.

**ACTION: G. Bush**

Richard Leeb, Western Public Services, asked the Commission to help seek legislation to open up public-private opportunities. Stan Randolph, California trucking Association, spoke in support of Caltrans roadside rest program and its proposals for what to do next.

**4.13 Report on Investments to State Highway System by Outside Funding Sources**

Bob Buckley, Caltrans, discussed the status of investments in the state highway system by outside funding sources.

**4.14 Status Report on Y2K Preparedness**

David All, Caltrans, discussed the status of Y2K preparedness.

**5. MODAL ISSUES****5.1 Rail**

- Report by LACMTA Regarding Financial Capability of Operating the Pasadena Blue Line (Related Item 2.6c.)

Julian Burke, LACMTA, discussed the financial capability of operating the Pasadena Blue Line and that LACMTA projected that an operating shortfall would occur, which would be dealt with through cost efficiencies and one-time revenues.

After discussion, Vice Chairman Reed moved to accept the report. Commissioner Torres seconded the motion which carried 6-0 (Commissioners Abernethy and Hallisey were absent).

**5.2 Highways****5.2a. Status Report on Pilot SHOPP Re-engineering**

Bob Buckley, Caltrans, discussed the status of the pilot SHOPP re-engineering program, noting that a final report would be prepared in January 2000.

**5.2b. Route 710 Gateway Cities Report**

Commissioner Torres discussed the importance of the Route 710 corridor to the Los Angeles Area.

Raja Mitwasi, Caltrans District 7, summarized the issues affecting the Route 710 corridor.

Senator Karnette reported on her discussion with the ports.

During the discussion, the Commission asked Caltrans to:

- Explore the availability of additional funding for the Route 710 major investment study from South Coast Air Quality Management District (2766 Grant) and from TEA-21 (CTAP), as well as working with Senator Karnette in encouraging financial participation by the ports toward eliminating the \$1 million deficit from the budget for the study and report back to the Commission on progress in January 2000.

**ACTION: R. Mitwasi**

- On the December agenda, provide review of alternative means of implementing the intent of AB 23 (Lowenthal) while addressing the concerns in the Governor's veto message.

**ACTION: T. Harris**

**6. OTHER MATTERS**

There being no further business, the meeting adjourned at 12:00 p.m.

---

Robert I. Remen, Executive Director